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| Preqin | Fund Manager Profile | Created for Jeremy Goff Date: 08 - Mar - 2021  |

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| --- | --- | --- |
| **Investec Asset Management** |  | **Overview** |

| **Type** | **Location** | **Alternative Asset Classes** | **Last Updated** |
| --- | --- | --- | --- |
| Fund Manager | - | PE, HF, INF, PD, NR | 30 - Jan - 21 |

| **ASSET CLASS** | **NO. OF FUNDS CURRENTLY MANAGED** | **FUNDS RAISED IN LAST 10 YEARS** | **EST. DRY POWDER** | **STRATEGIES** |
| --- | --- | --- | --- | --- |
| Private Equity | 2 | USD 295 mn | USD 21 mn | Buyout, Growth |
| Infrastructure | 3 | - | - | Infrastructure Debt, Debt, Infrastructure Core Plus |
| Private Debt | 1 | USD 227 mn | USD 16 mn | Direct Lending, Real Estate Debt, Infrastructure Debt, Mezzanine, Special Situations |
| Natural Resources | 2 | - | - | Metals and Mining, Energy, Agriculture/Farmland |

| **ASSET CLASS** | **NO. OF FUNDS CURRENTLY MANAGED** | **AUM** | **DATE OF AUM** | **STRATEGIES** |
| --- | --- | --- | --- | --- |
| Hedge Funds | 16 | - | 31-Mar-19 | Long/Short Equity, Foreign Exchange, Fixed Income Arbitrage, Commodities, Special Situations, Long Bias, Long/Short Credit, Fixed Income |

### Background

| **Year Established** | **Firm Ownership** | **Management Team Staff** | **Investment Team Staff** |
| --- | --- | --- | --- |
| 1991 | Captive Arm – Bank | 1 | 4 |

#### About

Investec Asset Management, founded in 1991, is a specialist provider of active investment products and services. The firm offers strategies spanning equity, fixed income, multi-asset, and alternative assets. Their alternative assets focus includes private equity, private debt, real estate, infrastructure and hedge fund products.

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### Private Equity - Private Equity

#### Locations & Markets

Africa, Global, Sub-Saharan Africa, South Africa

#### Industries

Diversified, Media

#### Sources of Capital

Private Equity Funds, Deal By Deal

#### Status

Currently Investing

| **Total No. of Portfolio Companies** | **Current No. of Portfolio Companies** | **Offer Co-Investment Rights** |
| --- | --- | --- |
| 11-20 | 11-20 | Yes |

### Investment Criteria

Buyout, Growth

|  |  |
| --- | --- |
| **Equity Investment Size (Mn)** | USD 15 - 80 |
| **Board Representation** | Prefer |
| **Shareholding** | No Preference |
| **Holding Period (Years)** | 4 - 10 |
| **Company Situation** | Competitive Advantage |
| **Main Applied Strategies** | Value Added |
| **Main Expertise Provided** | Industry Knowledge, Strategic Advice, Network, Operational Advice |

### Hedge Funds - Hedge Funds

#### Fund Types

Hedge Fund

#### Structures of Funds Offered

Commingled, Managed Account (general), Separately Managed Account, UCITS

| **Separately Managed Accounts** |
| --- |
| Yes |

### Strategies Employed

| **Credit Strategies** | **Equity Strategies** | **Relative Value Strategies** | **Macro Strategies** | **Event Driven Strategies** |
| --- | --- | --- | --- | --- |
| Long/Short Credit, Fixed Income | Long/Short Equity, Long Bias, Value-Oriented | Fixed Income Arbitrage | Commodities, Foreign Exchange | Special Situations |

### Trading Information

| **Analysis Approach** | **Trading Methodology** | **Trading Volatility** |
| --- | --- | --- |
| Both Top Down and Bottom Up | Both Discretionary and Systematic | Yes |

### Primary Geographic Preferences

| **Global (All)** | **Emerging Markets (All)** | **Emerging Markets - Asia** |
| --- | --- | --- |
| Global | Emerging Markets | China |

### Other Geographic Preferences

|  |  |
| --- | --- |
| **Global (All)** | Global |
| **Asia-Pacific** | Australia, Japan |
| **Europe** | France, Ireland, Italy, Netherlands, Switzerland, UK |
| **North America** | Canada, US |
| **Emerging Markets - Africa** | South Africa |
| **Emerging Markets - Asia** | China |

### Methods of Investment Employed

| **Debt** | **Derivatives** | **Derivative Markets** | **Other** | **Equities** |
| --- | --- | --- | --- | --- |
| Government & Agency Bonds, Money Market Instruments, Corporate Bonds, Sub- Sovereign, Supranational Bonds, Mortgage-Backed Securities, High-Yield Bonds, Convertible Bonds, Asset-Backed Securities | Forward Contracts, Futures Contracts, Options, Swaps, Warrants | Interest Rate Derivatives, Credit Derivatives, Commodity Derivatives | Currency, Commodities | Equities |

### Industries

|  |  |
| --- | --- |
| **Information Technology** | Hardware |
| **Raw Materials & Natural Resources** | Mining, Materials |
| **Consumer Discretionary** | Travel & Leisure, Consumer Products, Retail |
| **Energy & Utilities** | Oil & Gas |
| **Industrials** | - |
| **Telecoms & Media** | Telecoms, Media |
| **Healthcare** | Biotechnology, Pharmaceuticals |
| **Real Estate** | - |

### Infrastructure - Infrastructure

#### Locations & Markets

Sub-Saharan Africa, UK, US

#### Industries

Telecom, Utilities, Energy, Aviation/Aerospace, Transportation, Renewable Energy, Clean Technology, Natural Resources

#### Status

Currently Investing

#### Project Stages

Greenfield, Brownfield, Secondary

### Private Debt - Private Debt

#### Locations & Markets

Africa, Emerging Markets, Sub-Saharan Africa, South Africa

#### Industries

Diversified

#### Sources of Capital

Private Debt Funds, Separate Accounts

#### Status

Currently Investing

| **Offer Co-Investment Rights** | **Total No. of Historic Deals:** | **Total No. of Active Deals:** |
| --- | --- | --- |
| Yes | 21 - 50 | 11 - 20 |

### Investment Criteria

Mezzanine, Special Situations, Direct Lending, Infrastructure Debt, Real Estate Debt

| **Company Size:** | **Company Maturity:** | **Company Situation:** | **Initial Loan Size:** | **Board Representation:** |
| --- | --- | --- | --- | --- |
| Small-Market | Growth | Real Assets | USD 15 - 80 | Prefer |

### Natural Resources - Natural Resources

#### Locations & Markets

Africa, Emerging Markets, Frontier Markets, Global, Sub-Saharan Africa, Australia, Austria, Belgium, Botswana, Canada, Denmark, Finland, France, Germany, Hong Kong, Ireland, Luxembourg, Namibia, Netherlands, Norway, Portugal, Singapore, South Africa, Spain, Sweden, Switzerland, UK, US

#### Sources of Capital

Unlisted Natural Resources Funds, Separate Accounts

#### Status

Currently Investing

| **Main Firm Strategy** | **Manage MLPs** |
| --- | --- |
| Energy | No |

### Investment Criteria

Agriculture/Farmland, Energy, Metals and Mining

| **Strategies/Situations** | **Company Size:** | **Company Maturity:** | **Sectors** |
| --- | --- | --- | --- |
| Active Management, Development | Mid-Market | Growth | EnergyCommodities: Renewables |

### Private Capital Funds Managed - 7 Funds

| **Fund Name** | **Vintage** | **Strategy** | **Status** | **Fund Structure** | **Fund Currency** | **Closed Size (mn)** | **Target Size (mn)** | **Primary Region Focus** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Investec Africa Credit Opportunities Fund 2 | 2020 | Direct Lending - Blended / Opportunistic Debt | First Close | Commingled | USD | - | 400 | Africa |
| Investec UK Sustainable Equity Fund | 2019 | Infrastructure Core Plus | Open Ended | Commingled | - | - | - | Europe |
| PR Aircraft Finance | 2016 | Infrastructure Debt | Closed | Commingled | USD | - | 500 | North America |
| Investec Africa Credit Opportunities Fund 1 | 2014 | Direct Lending - Blended / Opportunistic Debt | Closed | Commingled | USD | 226.5 | 350 | Africa |
| Investec Africa Frontier Private Equity Fund 2 | 2014 | Growth | Closed | Commingled | USD | 295 | 350 | Africa |
| Investec Africa Frontier Private Equity Fund | 2008 | Growth | Closed | Commingled | USD | 155 | 150 | Africa |
| Emerging Africa Infrastructure Fund | 2002 | Infrastructure Debt | Evergreen | Commingled | - | - | - | Africa |

### Hedge Funds Managed - Hedge Funds - 19 Funds

| **Fund Name** | **Share Classes** | **Inception Date** | **Type** | **Core Strategy** | **Status** | **Open to Investments** | **Fund Structure** | **Latest AUM (mn)** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Active Commodities Fund | 2 | 19-Dec-11 | Hedge Fund | Commodities | Liquidated | No | Commingled | USD 6.1 |
| All China Equity Fund | 19 | 19-May-15 | Hedge Fund | Long/Short Equity | Active | Yes | UCITS | USD 1800 |
| Emerging Markets Corporate Debt Fund | 30 | 15-Apr-11 | Hedge Fund | Fixed Income | Active | Yes | UCITS | EUR 2100 |
| Emerging Markets Investment Grade Corporate Debt Fund | 17 | 31-May-13 | Hedge Fund | Fixed Income | Active | Yes | UCITS | USD 496.53 |
| Emerging Markets Local Currency Debt Fund | 8 | 30-Jun-06 | Hedge Fund | Fixed Income | Active | Yes | UCITS | GBP 119.04 |
| Emerging Markets Local Currency Dynamic Debt Fund | 18 | 31-Jan-11 | Hedge Fund | Long/Short Credit | Active | Yes | Commingled | - |
| Emerging Markets Short Duration Fund | 4 | 31-Jan-11 | Hedge Fund | Foreign Exchange | Liquidated | No | UCITS | USD 81 |
| Enhanced Natural Resources Fund | 5 | 04-Jan-10 | Hedge Fund | Commodities, Long/Short Equity | Active | Yes | UCITS | - |
| GSF Global Value Equity Fund | 9 | 28-Nov-11 | Hedge Fund | Long/Short Equity | Active | Yes | UCITS | USD 60.19 |
| Global Energy Fund | 12 | 25-Jan-85 | Hedge Fund | Long/Short Equity | Active | Yes | Commingled | - |
| Global Gold Fund | 6 | 26-Nov-90 | Hedge Fund | Long Bias | Active | Yes | Commingled | - |
| Global Natural Resources Fund | 8 | 31-Jan-08 | Hedge Fund | Long Bias | Active | Yes | Commingled | - |
| Global Special Situations Fund | 7 | 17-Dec-07 | Hedge Fund | Special Situations | Active | Yes | Commingled | GBP 235.84 |
| Investec Emerging Markets Local Currency Dynamic Debt Fund | - | 20-Dec-10 | Hedge Fund | Long/Short Credit | Active | Yes | Commingled | - |
| Investec GSF Global Multi-Asset Income Fund | 17 | 26-Jul-11 | Hedge Fund | Fixed Income Arbitrage, Long/Short Equity | Active | Yes | UCITS | - |
| Investec Global Alternative Fund 1 - Multi-Asset Credit Defensive Fund | 1 | 31-Aug-18 | Hedge Fund | Fixed Income | Active | Yes | Commingled | - |
| Investec Global Alternative Fund 1 - Multi-Asset Credit Fund | - | - | Hedge Fund | Fixed Income | Active | Yes | Commingled | - |
| Investec Global Select - Investec Emerging Markets Blended Debt Fund | 8 | 02-Jun-14 | Hedge Fund | Fixed Income | Active | Yes | Commingled | - |
| Ninety One Global Strategy Fund - Emerging Markets Hard Currency Debt Fund | - | - | Hedge Fund | Foreign Exchange | Active | Yes | Commingled | - |

### Hedge Funds Performance - 96 Funds

| **Fund Name** | **FUND TYPE** | **CORE STRATEGY** | **1 MONTH** | **YTD** | **12 MONTHS** | **3 YEARS ANNUALISED (%)** | **5 YEARS ANNUALISED (%)** | **SHARPE RATIO (SINCE INCEPTION)** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| All China Equity Fund | Hedge Fund Equity Strategies | Long/Short Equity | 6.00 | 6.00 | 33.50 | - | - | - |
| All China Equity Fund - A Acc CNH | Hedge Fund Equity Strategies | Long/Short Equity | 6.00 | 6.00 | 33.50 | - | - | - |
| All China Equity Fund - A Acc EUR Hedged | Hedge Fund Equity Strategies | Long/Short Equity | 5.90 | 5.90 | 30.06 | - | - | - |
| All China Equity Fund - A Acc HKD | Hedge Fund Equity Strategies | Long/Short Equity | 9.17 | 9.17 | 37.57 | - | - | - |
| All China Equity Fund - A Acc RMB | Hedge Fund Equity Strategies | Long/Short Equity | 4.80 | 4.80 | 23.69 | - | - | - |
| All China Equity Fund - A Acc SGD Hedged | Hedge Fund Equity Strategies | Long/Short Equity | 9.07 | 9.07 | 36.85 | - | - | - |
| All China Equity Fund - A Acc USD | Hedge Fund Equity Strategies | Long/Short Equity | 6.00 | 6.00 | 33.50 | - | - | - |
| All China Equity Fund - A Inc USD | Hedge Fund Equity Strategies | Long/Short Equity | 9.18 | 9.18 | 37.91 | - | - | - |
| All China Equity Fund - C Acc USD | Hedge Fund Equity Strategies | Long/Short Equity | 5.90 | 5.90 | 29.15 | - | - | - |
| All China Equity Fund - F Acc USD | Hedge Fund Equity Strategies | Long/Short Equity | -0.05 | -4.78 | 11.83 | - | - | 0.58 |
| All China Equity Fund - I Acc EUR Hedged | Hedge Fund Equity Strategies | Long/Short Equity | 9.13 | 9.13 | 35.67 | - | - | - |
| All China Equity Fund - I Acc USD | Hedge Fund Equity Strategies | Long/Short Equity | 6.10 | 6.10 | 34.81 | - | - | - |
| All China Equity Fund - I Inc USD | Hedge Fund Equity Strategies | Long/Short Equity | 8.71 | 8.71 | 38.53 | - | - | - |
| All China Equity Fund - IX Acc EUR Hedged | Hedge Fund Equity Strategies | Long/Short Equity | 5.90 | 5.90 | 31.22 | - | - | - |
| All China Equity Fund - IX Acc USD | Hedge Fund Equity Strategies | Long/Short Equity | 6.10 | 6.10 | 34.84 | - | - | - |
| All China Equity Fund - IX Inc GBP | Hedge Fund Equity Strategies | Long/Short Equity | 5.70 | 5.70 | 29.18 | - | - | - |
| All China Equity Fund - J Acc USD | Hedge Fund Equity Strategies | Long/Short Equity | 9.30 | 9.30 | - | - | - | - |
| All China Equity Fund - JX Inc GBP | Hedge Fund Equity Strategies | Long/Short Equity | 8.47 | 8.47 | 32.87 | - | - | - |
| All China Equity Fund - JX Inc USD | Hedge Fund Equity Strategies | Long/Short Equity | 8.58 | 8.58 | 38.54 | - | - | 1.96 |
| All China Equity Fund - S Acc USD | Hedge Fund Equity Strategies | Long/Short Equity | 9.37 | 9.37 | 40.48 | - | - | - |

### Investors - 27 Known Investors

| **INVESTOR** | **NO. OF FUNDS** | **TYPE** | **CITY** | **COUNTRY** | **ASSET CLASS** |
| --- | --- | --- | --- | --- | --- |
| African Development Bank | 1 | Bank | Abidjan | Ivory Coast | INF NR |
| Allianz Group | 1 | Insurance Company | Munich | Germany | INF NR |
| Australian Catholic Superannuation and Retirement Fund | - | Superannuation Scheme | Sydney | Australia | HF |
| Avaya Pension Plan | 1 | Private Sector Pension Fund | Santa Clara | US | HF |
| Botswana Public Officers Pension Fund | - | Public Pension Fund | Gaborone | Botswana | HF |
| CDC Group | 2 | Government Agency | London | UK | PE PD VC |
| Clwyd Pension Fund | 1 | Public Pension Fund | Mold | UK | HF |
| Colorado Health Foundation | 1 | Foundation | Denver | US | PD |
| Consolidated Retirement Fund | 2 | Public Pension Fund | Bellville | South Africa | PD |
| Dana Holding Corporation Pension | 1 | Private Sector Pension Fund | Maumee | US | HF |
| DEG | 1 | Government Agency | Cologne | Germany | PE VC |
| Hollard Insurance Group | - | Insurance Company | Johannesburg | South Africa | HF |
| International Finance Corporation | 2 | Government Agency | Washington | US | PE INF NR VC |
| KfW Banking Group | 1 | Bank | Frankfurt | Germany | INF NR |
| Maritime Super | - | Superannuation Scheme | Sydney | Australia | HF |
| Netherlands Development Finance Company (FMO) | 1 | Government Agency | The Hague | Netherlands | PD |
| New York-Presbyterian Hospital Retirement Plan | - | Private Sector Pension Fund | New York | US | HF |
| Ocean Wilsons Investments Limited | - | Corporate Investor | Hamilton | Bermuda | HF |
| Oklahoma Municipal Retirement Fund | - | Public Pension Fund | Oklahoma City | US | HF |
| Private Infrastructure Development Group | 1 | Government Agency | London | UK | INF NR |

### Buyout Deals Breakdown

Date

Deal Location

Primary Industry

Industry Verticals

Amount (mn)

USD 1,343

No. of Deals

20

* Location
* Primary Industry
* Industry Verticals
* Investment Type
* Deal Size

|  | **NUMBER OF DEALS** | **AGGREGATE DEAL VALUE (USD mn)** |
| --- | --- | --- |
| Africa | 16 | 1,343 |
| Europe | 4 | - |

### Buyout Deals - Private Equity - 20 Deals

| **Portfolio Company** | **Deal Date** | **Portfolio Company Country** | **Investment Type** | **Deal Size (mn)** | **Primary Industry** | **Industry Verticals** | **Deal Status** | **Portfolio Company Status** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Spinneys Egypt Ltd. | Jul 2019 | Egypt | Buyout | - | Retail | - | Completed | Active |
| Mobisol GmbH | Oct 2018 | Germany | Growth Capital | - | Renewable Energy | - | Completed | Active |
| Richfield Graduate Institute of Technology (Pty) Ltd | Oct 2018 | South Africa | Buyout | - | Education/Training | EdTech | Completed | Active |
| Mobisol GmbH | Nov 2017 | Germany | Growth Capital | - | Renewable Energy | - | Completed | Active |
| Kamoso Africa | Aug 2017 | Botswana | Buyout | - | Logistics & Distribution | Manufacturing | Completed | Active |
| Lumeter Inc. | Jun 2017 | Kenya | Add-on | - | Renewable Energy | - | Completed | Active |
| San José López | Apr 2017 | Spain | Buyout | - | Logistics & Distribution | - | Completed | Active |
| Idwala Industrial Holdings Ltd | Dec 2016 | South Africa | Buyout | - | Mining | Manufacturing | Completed | Active |
| Mobisol GmbH | Oct 2016 | Germany | Growth Capital | - | Renewable Energy | - | Completed | Active |
| Helios Towers Nigeria | Mar 2016 | Nigeria | Add-on | - | Telecoms | - | Completed | Active |
| IHS Holding Limited | Jul 2015 | Mauritius | PIPE | - | Telecoms | - | Completed | Active |
| Intelligent Debt Management | Jul 2015 | South Africa | Growth Capital | - | Financial Services | - | Completed | Active |
| J&J Africa | Jan 2014 | Mozambique | Growth Capital | USD 100 | Logistics & Distribution | - | Completed | Active |
| Daraju Industries Limited | Nov 2013 | Nigeria | Growth Capital | - | Consumer Products | Manufacturing | Completed | Realised |
| IHS Holding Limited | Jul 2013 | Mauritius | PIPE | USD 1,035 | Telecoms | - | Completed | Active |
| Big Media | May 2012 | Angola | Buyout | - | Marketing/Advertising | AdTech | Completed | Active |
| SA Taxi Development Finance (Pty) Ltd | Mar 2011 | South Africa | Growth Capital | - | Financial Services | - | Completed | Realised |
| IHS Holding Limited | Feb 2011 | Mauritius | PIPE | USD 194 | Telecoms | - | Completed | Active |
| OK Zimbabwe Limited | May 2010 | Zimbabwe | Growth Capital | USD 14.2 | Retail | - | Completed | Active |
| Seven Energy | Oct 2008 | Nigeria | Growth Capital | - | Oil & Gas | - | Completed | Active |

### Buyout Deals Co-Investors - Private Equity - 14 Co-investors

| **Firm Name** | **City** | **Country** | **No. of Deals** | **AGG. Deal Value (USD mn)** |
| --- | --- | --- | --- | --- |
| African Infrastructure Investment Managers | Cape Town | South Africa | 1 | - |
| Capital Group Private Markets | San Francisco | US | 1 | - |
| Carlyle Group | Washington | US | 1 | 100 |
| Emerging Capital Partners | Washington | US | 2 | 1035 |
| FMO | The Hague | Netherlands | 1 | - |
| Goldman Sachs | New York | US | 1 | - |
| IFC Asset Management Company | Washington | US | 2 | 194 |
| IHS Holding Limited | Port Louis | Mauritius | 1 | - |
| International Finance Corporation | Washington | US | 1 | - |
| KIC-QIA | - | - | 1 | - |
| Mobisol GmbH | Berlin | Germany | 1 | - |
| Netherlands Development Finance Company | The Hague | Netherlands | 3 | 294 |
| RMB Ventures | Sandton | South Africa | 1 | - |
| Wendel | Paris | France | 2 | 1035 |

### Venture Deals Breakdown

Date

Deal Location

Primary Industry

Industry Verticals

Amount (mn)

USD 840

No. of Deals

4

* Location
* Primary Industry
* Industry Verticals
* Investment Stage
* Deal Size

|  | **NUMBER OF DEALS** | **AGGREGATE DEAL VALUE (USD mn)** |
| --- | --- | --- |
| Africa | 2 | - |
| Europe | 2 | 840 |

### Venture Capital Deals - Venture Capital - 4 Deals

| **Portfolio Company** | **Deal Date** | **Portfolio Company Country** | **Stage** | **Deal Size (mn)** | **Primary Industry** | **Industry Verticals** | **Deal Status** | **Portfolio Company Status** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Liquid Telecommunications Limited | Feb 2021 | UK | Venture Debt | USD 840 | Telecoms | - | Completed | Active |
| Yoyo Wallet Limited | Oct 2020 | UK | Merger | - | Financial Services | Mobile Apps, eWallets & Money Transfer | Completed | Active |
| wiGroup Proprietary Limited | May 2019 | South Africa | Growth Capital/Expansion | - | Software | Point of Sale Data, Saas, eWallets & Money Transfer | Completed | Realised |
| wiGroup Proprietary Limited | Aug 2015 | South Africa | Growth Capital/Expansion | - | Software | Point of Sale Data, Saas, eWallets & Money Transfer | Completed | Realised |

### Venture Deals Co-Investors - Venture Capital - 7 Co-investors

| **Firm Name** | **City** | **Country** | **No. of Deals** | **AGG. Deal Value (USD mn)** |
| --- | --- | --- | --- | --- |
| Capital Eye Investments | Johannesburg | South Africa | 2 | - |
| Crossfin Technology | Cape Town | South Africa | 2 | - |
| DEG | Cologne | Germany | 1 | 840 |
| International Finance Corporation | Washington | US | 1 | 840 |
| Smollan Group | Johannesburg | South Africa | 2 | - |
| Virgin.com Limited | London | UK | 2 | - |
| wiGroup Proprietary Limited | Cape Town | South Africa | 1 | - |

### Private Debt Deals Breakdown

Date

Deal Location

Primary Industry

Industry Verticals

No. of Deals

1

* Location
* Primary Industry
* Investment Type

|  | **NUMBER OF DEALS** | **AGGREGATE DEAL VALUE (USD mn)** |
| --- | --- | --- |
| Africa | 1 | - |

### Private Debt Deals - Private Debt - 1 Deal

| **Portfolio Company** | **Deal Date** | **Portfolio Company Country** | **Investment Type** | **Deal Size (mn)** | **Primary Industry** | **Industry Verticals** | **Deal Status** | **Portfolio Company Status** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Edcon Limited | Sep 2016 | South Africa | Restructuring | - | Retail | - | Completed | Active |

### Private Debt Equity Sponsor Relationship - Private Debt - 1 Relationship

| **Firm Name** | **No. of Deals** | **City** | **Country** |
| --- | --- | --- | --- |
| Franklin Templeton Investment Funds | 1 | Milan | Italy |

### Infrastructure Deals Breakdown

Date

Deal Location

Primary Industry

Amount (mn)

USD 52

No. of Deals

1

* Location
* Primary Industry
* Project Stage
* Deal Size

|  | **NUMBER OF DEALS** | **AGGREGATE DEAL VALUE (USD mn)** |
| --- | --- | --- |
| Europe | 1 | 52 |

### Infrastructure Deals - Infrastructure - 2 Deals

| **Asset Name** | **Deal Date** | **Location** | **Deal Size (mn)** | **Primary Industry** | **Industry** | **Project stage** | **Deal Status** | **Buyers** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Waterloo Light Rapid Transit | Mar 2014 | Canada | - | Transport | Railroads | Greenfield | Bid Failed | Investec Asset Management |
| Aldwych International | Feb 2009 | UK | GBP 36.5 | Utilities | Power Utilities | Brownfield | Completed | Investec Asset Management |

### Infrastructure Deals Co-Investors - Infrastructure - 7 Co-investors

| **Firm Name** | **City** | **Country** | **No. of Deals** | **AGG. Deal Value (USD mn)** |
| --- | --- | --- | --- | --- |
| Alternate Concepts Inc. | Boston | US | 1 | - |
| Connor, Clark & Lunn Infrastructure | Toronto | Canada | 1 | - |
| Gracorp Capital Advisors | Calgary | Canada | 1 | - |
| Harith General Partners | Johannesburg | South Africa | 1 | 52.38 |
| IBI Group | Toronto | Canada | 1 | - |
| Netherlands Development Finance Company (FMO) | The Hague | Netherlands | 1 | 52.38 |
| Parsons | Pasadena | US | 1 | - |

### Infrastructure Deals Exits - Infrastructure - 3 Exits

| **Asset Name** | **Deal Date** | **Location** | **Exit Type** | **Deal Size (mn)** | **Primary Industry** | **Industry** | **Project stage** | **Deal Status** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Boeing 737-800 and Airbus A320-200 Aircraft Portfolio | Jun 2017 | Other | Sale to GP | - | Transport | Aircraft | Secondary Stage | Completed |
| Boeing 777-300ER Aircraft Portfolio | Jun 2017 | Other | Sale to GP | - | Transport | Aircraft | Secondary Stage | Completed |
| Airbus A330-300 Aircraft | Jun 2017 | Other | Sale to GP | - | Transport | Aircraft | Secondary Stage | Completed |

### Infrastructure Asset Portfolio - Infrastructure - 2 Asset Portfolios

| **asset** | **asset type** | **country** | **stake(%)** | **date acquired** |
| --- | --- | --- | --- | --- |
| Aldwych International | Infrastructure Asset | UK | 82 | - |
| Waterloo Light Rapid Transit | Infrastructure Asset | Canada | - | - |

### Buyout Lead Ptnrs. & Board Reps - Private Equity - 2 Deals

| **Name** | **Lead Partners** | **Board Representatives** |
| --- | --- | --- |
| Mark Jennings | 3 | - |
| William Alexander | 1 | - |

### Venture Lead Ptnrs. & Board Reps - Venture Capital - 1 Deal

| **Name** | **Lead Partners** | **Board Representatives** |
| --- | --- | --- |
| William Alexander | 1 | - |

### General Policy - General

We now record how managers integrate these ESG considerations into the investment process. For more information on this new development in Preqin Pro, please [click here](https://docs.preqin.com/pro/ESG-Explained.pdf)

| **ESG Status** | **Impact Investing** | **Asset Classes** |
| --- | --- | --- |
| Yes | Unknown | * PE
* RE
* HF
* INF
* PD
* NR
 |

| **AFFILIATION** | **DATE SIGNED** | **SIGNATORY** | **ASSET CLASS** |
| --- | --- | --- | --- |
| [Principles for Responsible Investment (PRI)](https://www.unpri.org/) | 31-Jan-2008 | Signatory | * GEN
 |
| [International Corporate Governance Network](https://www.icgn.org/) | - | - | * GEN
 |
| [United Nations Environment Programme Finance Initiative](https://www.unepfi.org/) | - | - | * GEN
 |
| [CDP Climate Change](https://www.cdp.net/) | - | - | * GEN
 |
| [CDP Water](https://www.cdp.net/en) | - | - | * GEN
 |
| [Institutional Investors Group on Climate Change](https://www.iigcc.org/) | - | - | * GEN
 |
| [Asian Corporate Governance Association](https://www.acga-asia.org/) | - | - | * GEN
 |
| [CDP Forests](https://www.cdp.net/en) | - | - | * GEN
 |
| [Code for Responsible Investment in SA (CRISA)](https://www.iodsa.co.za/page/CRISACode) | - | - | * GEN
 |

### Fund Service Providers - 9 Fund Service Providers

| **SERVICE PROVIDER NAME** | **NO. OF FUNDS** | **CITY** | **COUNTRY** | **ROLE** |
| --- | --- | --- | --- | --- |
| Arendt & Medernach | 1 | Luxembourg | Luxembourg | Law Firm |
| DC Placement Advisors | 1 | Munich | Germany | Placement Agent |
| Intercontinental Trust | 1 | Ebene | Mauritius | Fund Administrator |
| King & Wood Mallesons | 1 | Hong Kong | Hong Kong | Law Firm |
| Kirkland & Ellis | 1 | Chicago | US | Law Firm |
| KPMG | 2 | Amstelveen | Netherlands | Auditor |
| Mourant | 4 | Grand Cayman | Cayman Islands | Law Firm |
| State Street | 2 | Boston | US | Fund Administrator |
| State Street Custody Services | 12 | Boston | US | Custodian |

### Buyout Deals Service Providers - Private Equity - 3 Deals Service Providers

| **Service Provider Name** | **No. of Deals** | **Role** |
| --- | --- | --- |
| ENSafrica | 1 | Legal Advisor |
| Eversheds Sutherland | 1 | Legal Advisor |
| Norton Rose Fulbright | 1 | Legal Advisor |

### INF Deals Service Providers - Infrastructure - 2 Deals Service Providers

| **Service Provider Name** | **No. of Deals** | **No. of Exit Deals** | **Role** |
| --- | --- | --- | --- |
| Clifford Chance | - | 3 | Legal Advisor |
| Goldman Sachs & Co. LLC | - | 3 | Financial Advisor |